**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission **6** + 3 referencesApplication Number **09/734,158**Filing Date **December 11, 2000**First Named Inventor **Hidehiko Ogawa**Art Unit **2134**Examiner Name **Andrew L. Nalven**Attorney Docket No. **MAT-8047US****RECEIVED****JUL 27 2004****Technology Center 2100****ENCLOSURES (Check all that apply)**☒ Fee Transmittal Form☒ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/Declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication  
to Group☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply  
Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
identify below):**Return Postcard  
PTO Form 1449, 3 references****Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm or  
Individual**Lawrence E. Ashery**

Registration No. (Attorney/Agent)

**34,515**

Signature

Date

**July 21, 2004****CERTIFICATE OF TRANSMISSION / MAILING**

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**Fran Petrillo**

Signature

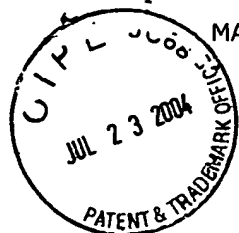
**Fran Petrillo**

Date

**July 21, 2004**

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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MAT-8047US

PATENT

#62134\$  
-41

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 09/734,158  
Applicant: Hidehiko Ogawa  
Filed: December 11, 2000  
Title: COMMUNICATION APPARATUS AND COMMUNICATION METHOD  
TC/A.U.: 2134  
Examiner: Andrew L. Nalven  
Confirmation No.: 4218  
Docket No.: MAT-8047US

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JUL 27 2004

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 10-079817
- 2) 11-284776
- 3) 2000-083119

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References 1) to 3) include an English language abstract.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, the required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicant

LEA/fp

Enclosures: PTO Form 1449  
Copies of (#3) References  
Transmittal Form  
Fee Transmittal and check

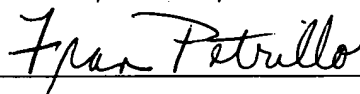
Dated: July 21, 2004

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Substitute for Form 1449A/PTO

**(Use as many sheets as necessary)**

**SHEET 1 of 1**

**Complete if Known**

Application Number	09/734,158
Filing Date	December 11, 2000
First Named Inventor	Hiddehiko Ogawa
Art Unit	2134
Examiner Name	Andrew L. Nalven
Attorney Docket No.	MAT-8047US

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
		JP 10-079817 (with English abstract)	03/24/98	FUJI XEROX CO LTD		<input type="checkbox"/>
		JP 11-284776 (with English abstract)	10/15/99	BROTHER IND LTD		<input type="checkbox"/>
		JP 2000-083119 (with English abstract)	03/21/00	RICOH CO LTD		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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